

REPORT TO: Business Efficiency Board

DATE: 21 July 2020

REPORTING OFFICER: Strategic Director - Enterprise Community & Resources

PORTFOLIO: Resources

SUBJECT: Annual Review of Corporate Risk Register 2020/21

WARD(S) Borough-wide

1.0 PURPOSE OF THE REPORT

1.1 To provide an annual review update for the risk control measures as identified in the Corporate Risk Register for 2020/21

2.0 RECOMMENDATION: That the update of actions be noted.

3.0 SUPPORTING INFORMATION

3.1 The report contains an annual review of the measures in managing the corporate risks for this year.

3.2 The Council recognises that it has a responsibility to manage both internal and external risks as a key component of good corporate governance, this document being one of many processes and activities making a contribution to this management.

3.3 Risk is defined as being the threat that an event or action will adversely affect an organisation's ability to achieve its objectives and to successfully execute its strategies. Risk Management is defined as the process by which risks are identified, evaluated and controlled.

3.4 The Risk Control Measures have been reviewed and updated in line with current changes within the Authority and as proposed by managers and internal stakeholders.

3.5 The risks have been grouped in order of priority and the scores relate to 'Unmitigated Risk Scores' and then to 'Mitigated Risk Scores'. The priority has been given to risks around people and the headings and scores in order are:

- i. COVID-19 (25:20);
- ii. European Union Exit (25:20);
- iii. Delivery of Services to Vulnerable Adults (25:20);
- iv. Safeguarding Children and Adults (25:16);
- v. Health & Wellbeing (20:16);
- vi. Education (20:16);
- vii. Cyber Risk (25:20)
- viii. Data Protection (25:15)
- ix. Capacity and Resilience (20:16);
- x. Council Finances (25:20);
- xi. Keeping Halton Community Safe (15:12);
- xii. Changes to Government Arrangements (25:15);
- xiii. Mersey Gateway (15:10);
- xiv. Community Expectations (20:16);
- xv. Partnerships (9:4);
- xvi. Fraud (12:6); and
- xvii. Funding and Income Generation (16:12) (20:16).

4.0 **POLICY IMPLICATIONS**

- 4.1 To provide a framework through which effectively manages the actual and potential opportunities and threats that may affect the achievement of the Council's strategic priorities and operational objectives.

5.0 **FINANCIAL IMPLICATIONS**

- 5.1 There are no financial implications.

6.0 **IMPLICATIONS FOR THE COUNCIL'S PRIORITIES**

6.1 **Children & Young People in Halton**

There are no direct implications on the Council's 'Children & Young People in Halton' priority.

6.2 **Employment, Learning & Skills in Halton**

There are no direct implications on the Council's 'Employment, Learning & Skills in Halton' priority

6.3 **A Healthy Halton**

There are no direct implications on the Council's 'A Healthy Halton' priority

6.4 **A Safer Halton**

There are no direct implications on the Council's 'A Safer Halton' priority

6.5 **Halton's Urban Renewal**

There are no direct implications on the Council's 'Halton's Urban Renewal'

7.0 **RISK ANALYSIS**

7.1 Failure to review and monitor the performance of the Corporate Risk Management could result in service development opportunities being lost and existing service delivery being compromised.

8.0 **EQUALITY AND DIVERSITY ISSUES**

8.1 Within the risk register there are a number of implications for Equality and Diversity issues, e.g. Council Finances, Capacity and Resilience.

9.0 **LIST OF BACKGROUND PAPERS UNDER SECTION 100D OF THE LOCAL GOVERNMENT ACT 1972**

None under the meaning of the Act.